Mikrostrukturna karakterizacija materijala primenom elektronskih i jonskih snopova

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Napredak u nauci uvek sledi povećanje moći rezolucije mernih uređaja. Eksteprimentalne metode koje koriste elektronske i jonske snopove doživele su značajan napredak u povećanju rezolucije, a time i u našem poznavanju strukturnih osobina široke klase materijala. Posebno zanačajan aspekt ovih uređaja je da omogućavaju bolje razumevanje veze između atomske strukture i fizičkih i hemijskih osobina materijala. Prezentacija obuhvata osnove interakcije elektrona i jona sa materijalima. Takodje, obuhvata karakteristike elektronskih mikroskopa (SEM,TEM) i spektroskopije Rutherfodovog povratnog rasejanja (RBS), eksperimentalnih tehnika koje se koriste za mikrostruktrunu karakterizaciju materijala.

Resolution



1-7. Illustration of resolution of two objects. As the resolution of these two objects improves $(\Lambda \rightarrow B - C)$, the objects can be independently visualized.

Light Microscopy

Resolving limit
Eye 0.1 mm
Light microscope 0.2 μm
SEM 3 nm
TEM 0.2 nm

Evolution of Resolution



<u>History:</u>

First record of using glass lens for magnification was by an Arabian from what is now known as Iran, Alhazen, in the 10 and 11th century. He contradicted Ptolemy's and Euclid's theory of vision that objects are seen by rays of light emanating from the eyes; according to him the rays originate in the object of vision and not in the eye. Because of his extensive research on vision, he has been considered by many as the father of modern optics.



15th century on - Studies done with glass magnifiers to study objects in detail mostly as a curiosity by nonscientists - Antonie van Leeuwenhoek (linen draper) described three shapes of bacterial cells using his simple, single lens microscope (glass bead in metal holder).

Earliest Microscopes

- 1590 Hans & Zacharias Janssen of Middleburg, Holland manufactured the first compound microscopes
- 1660 Marcello Malpighi circa 1660, was one of the first great microscopists, considered the father embryology and early histology - observed capillaries in 1660
- 1665 Robert Hooke (1635-1703)- book *Micrographia*, published in 1665, devised the compound microscope most famous microscopical observation was his study of thin slices of cork. He wrote:

"... I could exceedingly plainly perceive it to be all perforated and porous... these pores, or cells, ... were indeed the first microscopical pores I ever saw, and perhaps, that were ever seen, for I had not met with any Writer or Person, that had made any mention of them before this."

Earliest Microscopes

•1673 - Antioni van Leeuwenhoek (1632-1723) Delft, Holland, worked as a draper (a fabric merchant); he is also known to have worked as a surveyor, a wine assayer, and as a minor city official.

•Leeuwenhoek is incorrectly called "*the inventor of the microscope*" •Created a "simple" microscope that could magnify to about 275x, and

published drawings of microorganisms in 1683

•Could reach magnifications of over 200x with simple ground lenses - however compound microscopes were mostly of poor quality and could only magnify up to 20-30 times. Hooke claimed they were too difficult to use - his eyesight was poor.

•Discovered bacteria, free-living and parasitic microscopic protists, sperm cells, blood cells, microscopic nematodes

•In 1673, Leeuwenhoek began writing letters to the Royal Society of London - published in *Philosophical Transactions of the Royal Society*

•In 1680 he was elected a full member of the Royal Society, joining <u>Robert Hooke</u>, Henry Oldenburg, Robert Boyle, Christopher Wren

J.Paul Robinson - Purdue University Cytometry Laboratories

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Lister, Abbe, Zeiss & Schott

- In 1830, by Joseph Jackson Lister (father of Lord Joseph Lister) solved the problem of *Spherical Aberration* - caused by light passing through different parts of the same lens. He solved it mathematically and published this in the *Philosophical Transactions* in 1830
- Ernst Abbe together with Carl Zeiss published a paper in 1877 defining the physical laws that determined resolving distance of an objective. Known as Abbe's Law

"minimum resolving distance (d) is related to the wavelength of light (lambda) divided by the $d = \frac{\lambda}{2 n \sin \theta}$ Numeric Aperture, which is proportional to the angle of the light cone (theta) formed by a point on the object, to the objective".

- Abbe and Zeiss developed oil immersion systems by making oils that matched the refractive index of glass. Thus they were able to make the a Numeric Aperture (N.A.) to the maximum of 1.4 allowing light microscopes to resolve two points distanced only 0.2 microns apart (the theoretical maximum resolution of visible light microscopes). Leitz was also making microscope at this time.
- Dr Otto Schott formulated glass lenses that color-corrected objectives and produced the first "apochromatic" objectives in 1886.

Abbe's Equation



Origin of the Transmission Electron Microscope

DATE	NAME	EVENT
1897	J. J. Thompson	Discovers the electron
1924	Louis deBroglie	Identifies a wavelength to moving electrons $\lambda = h/mv$ where λ = wavelength h = Plank's constant m= mass v = velocity
1926	H. Busch	Magnetic fields act as lenses for electons
1929	E. Ruska	Ph.D thesis on magnetic lenses/pole piece
1931	Knoll & Ruska	First electron microscope built
1931	Davisson & Calbrick	Properties of electrostatic lenses
1934	Driest & Muller	Surpass resolution of the LM
1938	von Borries & Ruska	First practical EM (Siemens) - 10 nm resolution
1940	RCA	Commercial EM with 2.4 nm resolution
1945		1.0 nm resolution attained

J.J. Thomson's Plum Pudding Model of the Atom (1897)



He proposed that the electrons are embedded in a positively charged 'pudding'

Rutherford's a Scattering Experiment (1911)



He found that, once in a while, the a-particles were scattered backwards by the target



Discovery of the Atomic Nucleus



To explain the backscattering, the positive charge must be concentrated in a small region

Rutherford's Solar System Model of the Atom



The atom consists of electrons orbiting around a small but dense central nucleus

Bohr's Model of the Hydrogen Atom (1913)



He proposed that only certain orbits for the electron are allowed



magnification



resolution

A (Right)

resolution

ft)

Examples:

(a) Optical Microscope –

 λ = 50 nm (for white light Illumination) n sin α = 0.135 (when fitted with an oil immersion lens)

Therefore, it is possible to achieve **a resolution** of about **250 nm** in Optical Microscopes.

(b) Electron Microscope –

De Broglie relationship relates the wavelength of electrons, λ , to their momentum, mv (m is the mass and v is velocity), by h – Planck's constant, such that:



$$d = \frac{0.61 \times 500 \text{ nm}}{1.4 \text{ NA}} = \frac{217}{85} = 0.2 \text{ }\mu\text{m}$$

Wavelength of electron beam = 0.005 nm (10,000 times smaller than light) Theoretical limit of resolution = 0.0025 nm Practical limit of resolution = 0.1-0.2 nm





Resolution $\approx \frac{1}{2} \lambda$



Therefore, a 50,000 volt (50 kV) electron has a wavelength of 0.0055nm and a 1MeV electron has a wavelength of 0.00123nm!

TABLE 4.1

Comparison of Accelerating Voltage, Wavelength, and Resolving Power for a Transmission Electron Microscope

As accelerating voltage increases, wavelength decreases and resolution decreases (improves).

CCELERATING VOLTAGE (V)	WAVELENGTH (nm)	RESOLUTION (nm)
20,000	0.0087	0.44
40,000	0.0061	0.31
60,000	0.0050	0.25
80,000	0.0043	0.21
100,000	0.0039	0.19
1,000,000	0.00087	0.10

 $\lambda = \frac{1.23}{\sqrt{V}}$ nm where: V = accelerating voltage

Wavelength = 0.005 nm

Particles as a Wave

In 1924 de Broglie suggested that electrons may have wave properties. The wave length of an electron is proposed to be h/p where p is the momentum of the electron.

- This expression is consistent with photon (E=pc) or p=h/ λ .
- Because of the Planck constant, the λ of macroscopic object is not detectable.
- Lower momentum particle is more wavelike.
- Higher energy wave is more particle like.





Resolution of an electron microscope

An electron microscope is designed to resolve objects as small as 0.14 nm. What is the minimum energy of the electrons to be used in this instrument?

Solution: The Heisenberg uncertainty relation tells us that

$$\Delta p \ge \frac{\hbar}{2\Delta x}$$

Then the momentum of the electrons itself cannot be smaller than this value. We obtain for the energy

$$E \ge \frac{(\Delta p)^2}{2m} \ge \frac{\hbar^2}{8m(\Delta x)^2} = \frac{(\hbar c)^2}{32\pi^2 m c^2 (\Delta x)^2} = \frac{(197.3eVnm)^2}{8 \times 5.11 \times 10^5 eV (0.14 nm)^2} = 0.486eV$$



Fig. 2: Sketch by the author (9 March 1931) of the cathode ray tube for testing one-stage and twostage electron-optical imaging by means of two magnetic electron lenses (electron microscope) [8].

•1935 - Max Knoll demonstrates the theory of the scanning electron microscope



1938 - First scanning electron microscope produced by von Ardenne



von Ardenne

Knoll and Ruska 1986 Nobel Prize winners

1939 - Ruska and von Borries, working for Siemens produce the first commercially available EM



Electrons are light mass particles, electrons are therefore scattered easily in all directions due to their interactions with the atomic electrons of the absorber material. This results into more energy loss per scattering event.



Signals for Imaging in the SEM and STEM

- Secondary electrons
- Backscatter electrons
 - Auger electrons
 - X-rays
- Transmitted Electrons
 - Dark Field (DF)
 - Bright Field (BF)



Scanning Electron Microscope





SEM Detector



Magnification

The magnification is changed simply by altering the size of the raster on the specimen. The magnification is merely the ratio of the viewing screen's linear size to the linear raster size.



Low Mag





Secondary Electrons



- Caused by incident electron passing "near" sample atom and ionizing an electron (inelastic process).
- <u>Ionized electron</u> leaves sample with very <u>small kinetic energy</u> (5eV) and is called "<u>secondary electron</u>". (Each incident electron can produce several secondary electrons.)
 - <u>Production</u> of secondary electrons is <u>topography</u> related. Only secondaries near surface (<10 nm) exit sample.








Catalyst(Pt /Carbon)

Vacc. : 20kV Mag. : x300k – x800k Comment : Uncoated



S-4800





S-5200



 SP.+ BSP.L

 Ni

 S2001.5kV x20.0k SE(C30)

Al2O3 / Ni composite (courtesy by Dr. SEKINO, Osaka Univ.)

Signal Control (SE / SE+BSE-L / BSE-H)





Composite Rich (SE+BSE-L) Ni Status Status

S-5200

Alumina / Nickel Composite

Courtesy of Associate Prof.. T. Sekino, ISIR, Osaka Univ.

SEMs are patterned after Reflecting Light Microscopes and yield similar information:

Topography The surface features of an object or "how it looks", its texture; detectable features limited to a few nanometers

Morphology

The shape, size and arrangement of the particles making up the object that are lying on the surface of the sample or have been exposed by grinding or chemical etching; detectable features limited to a few nanometers

Composition

The elements and compounds the sample is composed of and their relative ratios, in areas ~ 1 micrometer in diameter

Crystallographic Information The arrangement of atoms in the specimen and their degree of order; only useful on single-crystal particles >20 micrometers

X-ray Microanalysis



X-rays can have an energy nearly equal to that of the primary beam electron and thus can escape from very deep within the specimen



When an electron from a K-shell is replaced by one from the second closest shell (M), it is designated as a Kβ event



When an electron from a L-shell is replaced by one from the next closest shell (M), it is designated as a Lα event. The K shell will never donate its electron as this would require an increase in energy, not a drop.

X-ray Microanalysis



Certain events such as $M\alpha$, $L\beta$, and $K\gamma$ are only possible in atoms of sufficient atomic weight



X-ray Detection



EDS = Energy Dispersive Spectroscopy

WDS = Wavelength Dispersive Spectroscopy

X-ray Microanalysis Energy Dispersive Spectroscopy (EDS)





X-ray Energy in KeV

An X-ray spectrum for a sample is composed of all the possible signals for that given set of elements. These will differ in terms of energies (KeV) and probabilities (likelihood) scored as number of such signals collected over a given period of time.





William Henry Bragg 1862 – 1942 Nobel Prize in Physics 1915

X-ray diffraction in a crystal. Like an electron beam an X-ray has its own wavelength which is proportional to its energy



If a wavelength enters a crystal at the appropriate angle it will be diffracted rather than being absorbed or scattered by the crystal



For a given wavelength λ there is a specific angle θ (Bragg's angle) at which diffraction will occur. Bragg's angle is determined by the dspacing (interplanar spacing) of the crystal and the order of diffraction (n = 1, 2, 3....).



A WDS detector takes advantage of the fact that an X-ray of a given wavelength can be focused by a crystal if it encounters the crystal at the

proper Bragg's angle.



To change the Bragg's angle the diffracting crystal and detector can be moved together relative to the stationary specimen along a circle known as the Roland Circle

Applications of X-ray Microanalysis







Secondary Electron

Nickel Map



X-ray Mapping



Laboratorija za atomsku fiziku Philips SEM 501

The TEM

Unit beam diffracted beam objective aperture =1-diff-BSE

to the screen

BSE

₩With crystalline samples image detail comes mostly from Bragg diffraction

₩By choosing the aperture position either the diffracted beam (Dark Field) or the unscattered electrons (Bright Field) can be used to form the image



Materials Science



- The TEM combination of diffraction and imaging provides a unique capability for understanding the properties of crystals, and of defects in crystalline materials
- The highly developed dynamical theory of diffraction permits detailed interpretation
- Imaging is possible from the meso- to the nanometer scale and the spatial resolution can now extend to the atomic level
- Requires specialized operators

Screw dislocations in stainless steel

Transmission Electron Microscopy $\lambda \approx (150 / V)^{1/2}$ Angstroms Substituting 200 eV for V gives λ a of 0.87 Angstroms For a beam of 100 KeV we get a wavelength of 0.0389 and a theoretical resolution of 0.0195 Angstroms! But in actuality most TEMs will only have an actual resolution 2.4 Angstroms at 100KeV



1 MeV 200 kV 100kV

TEM



TEM



Transmission Electron Microscopes:

Widely used in materials science and biological research

Capable of magnifying over a extremely wide range (~100 to >1,000,000 x)

Excellent spatial resolution (better than 1 nm)

Easy to use and very reliable

TEMs are patterned after Transmission Light Microscopes and will yield similar information.

Morphology

The size, shape and arrangement of the particles which make up the specimen as well as their relationship to each other on the scale of atomic diameters.

Crystallographic Information The arrangement of atoms in the specimen and their degree of order, detection of atomic-scale defects in areas a few nanometers in diameter

Compositional Information (if so equipped) The elements and compounds the sample is composed of and their relative ratios, in areas a few nanometers in diameter





A simplified ray diagram of a TEM consists of an electron source, condenser lens with aperture, specimen, objective lens with aperture, projector lens and fluorescent screen.

Transmission Electron Microscope



Basic premise of a TEM is to project a magnified image of the specimen onto a fluorescent screen where it can be viewed by the operator. The image itself is the result of beam electrons that are scattered by the specimen vs. those that are not.

COMPONENTS OF TYPICAL TRANSMISSION ELECTRON MICROSCOPE COLUMN



In actuality a modern TEM consists of many more components including a dual condenser system, stigmators, deflector coils, and a combination intermediate and dual projector lens. Total magnification in the TEM is a combination of the magnification from the objective lens times the magnification of the intermediate lens times the magnification of the projector lens. Each of which is capable of approximately 100X.

 $M_{ob} \times M_{int} \times M_{proj} = Total Mag$






Growth of b-FeSi2 films via noble-gas ion-beam mixing of Fe/Si bilayers















Cu_xSe in Cu(In,Ga)Se₂ thin films





Electron diffraction: Electrons are diffracted in crystals. Due to the strong interaction (much stronger than with X-rays!) only very thin specimens can be analyzed. The diffraction pattern is characteristic for the crystal structure.

Table 1. The Characteristics of Light and VariousRadiations

Used for Texture Measurement by Diffraction.

	Light	Neutrons	X-rays	Electrons
Wavelength [nm]	400-700	0.05 - 0.3	0.05 - 0.3	0.001 - 0.01
Energy [eV]	1	1.00E-02	1.00E+04	1.00E+05
Charge [C]	0	0	0	-1.60E-19
Rest mass [g]	0	1.67E-24	0	9.11E-28
Penetration depth [mm]	0	10 - 100	0.01 - 0.1	1.00E-03

• Electrons are the only radiation in which their penetration depth and interaction volume is small enough to allow diffraction from individual grains (very small volume). Hence, only electrons can be used for MICROTEXTURE



The result is an electron diffraction (ED) pattern. The pattern one obtains is completely dependent on the d-spacing and composition of the crystal that is being analyzed.



An ED from a single crystal will result in a series of diffraction spots arranged in concentric rings around the central bright spot which is comprised of transmitted electrons.



If an ED is made of field of many crystals, some of which are oriented at the Bragg's angle while others are not, a pattern with well defined concentric rings, but not spots, will result.



If an ED is made of an amorphous structure (i.e. no crystalline formation) then one simply gets a central bright spot comprised of transmitted electrons and a single ring of randomly forward

scattered electrons.

Bright field => image obtained using direct beam Dark field => image obtained using diffracted beam





The R values for different order diffraction events can be measured directly from a diffraction pattern but it is crucial that it not be enlarged or reduced. It should always be compared to a pattern of a crystal with a known d-spacing so that the value for the camera length is accurate.





tan2 θ 2 θ 2sin θ = R/L 2d_{hkl}sin θ = λ ; d_{hkl}R/L= λ For cubic: d_{hkl} = a/(h²+k²+l²)^{1/2} 2d_{hkl}sin θ = λ ; (a/ λ L)R = (h²+k²+l²)^{1/2}





Binding site for Goloco motif on heterotrimeric Galpha protein (Kimple et al., (2002) *Nature* **416**, 878-881)

In this way complex molecular arrangements can be determined



Figure 6. High-resolution images and their respective FFT showing the presence of: (a)fcc and amorphous a-Al for the alloy with 0.59% Mg and(b) Q phase and amorphous a-Al for the alloy with 3.80% Mg, for the alloys obtained at 45 ms⁻¹.

Basic Principles: How space is represented by frequency

Basic Principles

Fourier theory states that any signal, in our case visual images, can be expressed as a sum of a series of sinusoids. In the case of imagery, these are sinusoidal variations in brightness across the image. For example the sinusoidal pattern shown below can be captured in a single Fouier term that encodes 1: the spatial frequency, 2: the magnitude (positive or negative), and 3: the phase.



The significant point is that the Fourier image encodes exactly the same information as the brightness image, except expressed in terms of amplitude as a function of spatial frequency, rather than brightness as a function of spatial displacement. An inverse Fourier transform of the Fourier image produces an exact pixel-for-pixel replica of the original brightness image.

The orientation of the sinusoid correlates with the orientation of the peaks in the Fourier image relative to the central DC point. In this case a tilted sinusoidal pattern creates a tilted pair of peaks in the Fourier image.

Brightness Image

Fourier transform









The unique STEM Mode - HAADF imaging

- The Super STEM microscopes incorporate computer controlled aberration correction of the objective lens using quadrupole and octupole lenses. This allows the formation of a sub-Angstrom probe for simultaneous ultrahigh resolution high angle annular dark field (HAADF) imaging and atomic-column electron energy loss spectroscopy (EELS) analysis of thin specimens.
- The <u>High Angle Annular Dark Field image is a unique</u> operational mode of the STEM
- Here q the inner angle of the annular DF detector is made so large (30 millirads) that no Bragg diffracted electrons are collected
 - The outer angle f is made as large as possible
- The images comes from elastically scattered electrons which have passed very close to the atomic nucleus. High (single atom column) resolution is possible. There is zero diffraction contrast



High Angle Angular DF



Individual strands of DNA on a carbon substrate (mass thickness contrast) Crewe et al 1971

- The HAADF signal varies as $\rho T.Z^{3/2}$ where T is the specimen thickness, ρ is the density and Z is the atomic number
- So we can produce an image which shows pure mass - thickness contrast (i.e. the signal is proportional to the number of atoms) or Z contrast images (signal proportional to the atomic number of the atoms)
- HAADF works for inorganic and organic samples, and for crystalline and amorphous materials



When the crystal lattice is correctly oriented then the beam interaction is localized at the atom column positions ('s' orbitals are selected)



Why STEM lattice images are better

Z-contrast image showing maximum entropy fit to data. The HAADF Z-mode displays the actual physical positions of the atoms. **TEM** 'lattice images' are only interference effects and there is no correlation between the image positions (which vary with thickness and focus) and atom locations



Courtesy Dr. S Pennycook



Interface width 3 - 5 Å

The limit - Single atom imaging

CdSe/ZnS core/shell nanocrystals



Ag (Z=47) atoms on C (Z=6) foil - Crewe 1970 30keV STEM image





An atomic resolution image of GaAs (courtesy of Glasgow University) in 110 projection showing EELS column contrast along the blue box and dumbbell asymmetry in high angle annular dark field image contrast from the red box. The probe in this case about 0.14nm; the was dumbbell separation between the Ga and As columns is also 0.14nm



The picture shown above-left is of a catalyst particle enclosed in the tip of a carbon nanotube, and was taken recently at SuperSTEM as part of an investigation being carried out by Dr. Uschi Bangert and colleagues of The University of Manchester. The sample was supplied by Prof. Laszlo Forro (EPFL).



Two different oriented areas of the NiSi_2/Si (100) interface, separated by a surface step with an (111) interface. The structures of the both perspectives are well distinguishable.



SAMPLE PREPARATION





Detection limits of analytical instruments



What is an accelerator?

An accelerator produces a beam of a particular type of particle at a specific energy.

Common Uses:

- Implantation
- RBS: Rutherford Back Scattering
- PIXE: Particle Induced X-ray Emission

Accelerator Requires:

- Source of charged particles
 - hot filament emits electrons
- ionized atoms from ion source
- Accelerating Voltage (100's of kV)
 - Focusing/Steering Apparatus
- Beam tends to diverge like natural light
 - Target

Efekti koje jonska implantacija proizvodi na meti se mogu grubo sumirati na sledeći način:

- Kontrolisano unošenje primesa u osnovni materijal, zbog veoma precizno kontrolisanog procesa u odnosu na klasične postupke.
- Promena krstalne strukture na atomskoj skali pri manjim jonskim dozama.
- Narušavanje kristalne strukture i/ili amorfizacija mete na površini pri velikim dozama. Kod poluprovodnika se struktura potpuno regeneriše u toku naknadnog postupka termičke aktivacije primesa, dok se metali amorfizuju samo u slučaju kada se meta hladi na temperaturi tečnog azota ili niže.
- Sinteza jedinjenja u meti implantacijom jona koji reaguju hemijski sa osnovnim materijalom. Za dovoljno velike doze u meti se može ugraditi kontinualan sloj nekog jedinjenja.
- Stvaranje nano-uključaka i formiranje nanostruktura.
- Unošenje materijala u metu u koncentracijama iznad granice rastvorljivosti.
- Formiranje metastabilnih faza zahvaljujući termodinamički neravnotežnom procesu.
 Zbog velike gustine enrgije, koja se u kratkom vremenu unosi u materijal, mnogi termodinamički parametri za dati sistem mogu biti prevaziđeni pri čemu nastaje mogućnost dobijanja novih faza koje nisu definisane faznim dijagramom za dati sistem. Dakle, na ovaj način se dobijaju nove osobine materijala i novi materijali, koje nije moguće dobiti drugim konvencionalnim postupcima.
- Unošenje nerastvorljivih materijala i stvaranje lokalnog naprezanja u kristalnoj rešetci

Promena morfologije na površini i homogenizacija strukture, što je posebno interesantno za obradu tvrdih i zaštitnih prevlaka, kod kojih se još i višestruko povećava adhezija za podlogu.

Principles of ion beam analysis



In its passage through matter, an ion may interact with

•THE ATOMIC ELECTRONS

and/or

•THE ATOMIC NUCLEI





Fig. 9.2

Schematic of the cascade formation for an individual ion trajectory, depending on the average primary initial energy transfer T: Small subscascades for light ions at high energy (top), and one large cascade for heavy ions at sufficiently low energy (bottom).

The density of deposited energy does not only influence the spatial development of the cascade, but also its internal dynamics. Conventionally, three regimes are defined (see Fig. 9.3). In the single-collision regime (Fig. 9.3a), which is typical for light ions at low energy, a cascade does not really develop and the atomic motion is stopped after a few collision events.



Fig. 9.3

Cascade regimes (schematically): (a) Single collision regime; (b) Linear cascade; (c) Thermal spike

Schematic View of a Linear Collision Cascade



http://www.acclab.helsinki.fi/~koehenri/work/www_gradu/gradu_html/node8.html






Fig. 8.1

Schematic of an ion track for an ion of incident energy E and angle of incidence α , with range definitions

8. Ion Ranges

As a consequence of stopping and scattering, each individual incident ion forms a random trajectory as shown in Fig. 8.1. Stopping alone defines the total pathlength R_t . From the endpoint of the trajectory projected ranges can be defined (longitudinal, $R_p^{=}$, and lateral, R_p^{\perp}). For practical purposes, the (normal) projected range R_p is mostly of interest, since it characterises the implantation depth with respect to the surface. Obviously, R_p is equal to $R_p^{=}$ for normal incidence. As in most implantation processes the extension of the implanted area is very large compared to the ion range, R_p is mostly also the only range quantity which is accessible to measurement.





Schematic of range distribution at normal incidence **Stopping of lons in Matter**

- Nuclear
- Electronic

If E is the energy of the ion at any point x along the path, the average rate of energy loss is

$$\frac{dE}{dx} = -n[S_{n}(E) + S_{e}(E)]$$

 S_n (E) and S_e (E) are, respectively, nuclear and electronic stopping cross-sections.

Average range of ions in the target:

$$R(E) = \int_{0}^{R} dx = \int_{0}^{E_{0}} \frac{dE}{n[S_{n}(E) + S_{e}(E)]}$$

 $S_{R}(E) = k E$

 $S_{e}(E) = k_{e}E^{1/2}$

Slowing Down due to Electronic Interactions (Inelastic)

According to the model of Lindhardt, Schraff and Schiott (LSS model) the rate of energy loss to electrons by the striking ions per unit depth

$$(\frac{dE}{dx})_{\rm e} = -K_{e}\sqrt{E} = -nS_{e}(E)$$

In dimensionless units:

$$\left(\frac{d\varepsilon}{d\rho}\right)_{e} = -s_{e}(\varepsilon) = -k_{L}\varepsilon^{1/2}$$

where
$$k_{L} = Z_{1}^{1/6} \frac{0.0793 Z_{1}^{1/2} Z_{2}^{1/2} (m+M)^{3/2}}{(Z_{1}^{2/3} + Z_{2}^{2/3})^{3/4} m^{3/2} M^{1/2}}$$

$$K_{e} = k_{L} \left(\frac{E}{\varepsilon}\right)^{1/2} \left(\frac{\rho}{x}\right)$$

Energy Loss or Slowing Down due to Nuclear Interactions

Collisions between the screened nuclear charges of the incident ion and the target atoms;

• Complex interactions at low energies;

 Screened Coulomb scattering at medium energies – energies less than A keV where A is the incident ion atomic weight ;

• Rutherford scattering at high energies.



In this way, the ordinate of Fig. 11.5 is defined by $c(x)/\Phi_{tot}$. Nevertheless, it should be pointed out that standard TRIM computer simulations are only valid for the low-fluence limit, so that the results cannot readily be extrapolated to high fluences with, e.g., resulting implant concentrations close to stoichiometry or even above, which would be meaningless. In the BCA simulations discussed here, each incident projectile finds the same, unmodified substance that any effects of, e.g., ion deposition or surface erosion (see ch. 13) are neglected.

In a similar way, distribution functions of deposited energy can be obtained (Fig. 11.6). The electronic energy dissipation is sometimes called "ionisation" (such as in SRIM-2000), although the latter contributes only a fraction (see ch. 5). Also in SRIM-2000, the nuclear energy dissipation is called "energy to recoils". Note that the definition of nuclear energy dissipation is only meaningful for

Ion Beam Analysis Methods



Capabilities of Ion Beam Analytical Techniques

Analytical Technique	Typical Applications	Elements Detected	Sensitivity	Depth Resolution
<u>RBS</u>	surface and thin film composition and thickness	Li - V	best for heavy elements on light element substrate (eg Cu on Si) typical 10% (Li) - 0.001% (U)	5 - 20 nm
<u>PIXE</u>	trace element composition of particulates and bulk materials	si - V	optimum near Fe (1ppm) elemental sensitivities range from 1 - 100 ppm	typical proton range 20-50 microns
<u>PIGE</u>	trace element composition of particulates and bulk materials	Li - Al	element dependent, typical < 1 ppm for F < 40 ppm for Na < 40 ppm for Al	
NRA	isotopic tracing and profiling in materials, surfaces and interfaces	H - Si	element dependent, typically in range 1 - 100 ppm	5 - 20 nm
ERDA & ToF	elemental composition and structure of near surface regions, thin films	H - U	> 0.1 %	10 - 20 nm

TYPICAL ION BEAMS AND INCIDENT ENERGIES USED IN VARIOUS IBA TECHNQUES:

TECHNIQUE	ION BEAM	ENERGY (MeV)	REMARK
PIXE	H+	1 - 4	Maximum sensitivity in atomic ranges 10 <z<35 75<z<85<="" and="" td=""></z<35>
RBS	⁴ He+, H+	≤ 2	Non-Rutherford scattering becomes significant for energy >2 MeV
PESA FAST	H+ ⁴ HE+	3 - 30	High incident energy is required for good mass separation, but the classical elastic scattering cross-section formula is not valid for high incident energy.
ERDA	³⁵ Cl+, ²⁰ Ne+ ³ He+, ⁴ He+	2 - 40	Mass of incident ion must be greater than that of target nucleus. ³ He+ and ⁴ He+ are used only for the measurement of H.
NRA	H+, D+	0.4 - 3	Reactions used include (p,γ) (p,p'γ), (p,αγ), (d,p), (d,pγ)



- Rutherford Back Scattering
- Positive charges directed towards a sample.
- Energy of back scattered particle determines the mass of the target nucleus.
 - If the target is not of uniform composition, the distribution of back scattered energies determines relative compositions.



RBS metoda omogućava sledeće analize materijala:

- Odredjuvanje koncentracionih profila komponenata (elemenata), do debljina od nekoliko µm, sa rezolucijom do nekoliko nm.
- Precizno odredjivanje odnosa koncentracije komponenata u kompozitnim materijalima, a time i njihovog hemijskog sastava.
- Precizno merenje debljina višeslojnih struktura i odredjivanje stepena interakcije komponenata u ovakvim strukturama.
- Odredjivanje vrste i raspodele primesa u osnovnom materijalu, prisustva teških elemenata u tragovima, a u kombinaciji sa EBS, ERDA ili PIXE takodje i lakih elemnata.
- Analiza kristalne strukture monokristalnih materijala, stepena uredjenosti kristalne rešetke, kao i stepena i dubine oštećenja ili narušavanja kristalne strukture – metodom ion channeling.
- Odredjivanje substitucionog, odnosno intersticijalnog položaja primesa u monokristalima, takodje metodom *ion channeling.*



accelerator



Rutherford Backscattering

• Shoot a beam of α particles (helium nuclei) having known energy E_i into a sample of unknown composition. Measure the energy E_f of the α particles that bounce back out at ~180° with respect to the incoming beam.



Rutherford Backscattering

For example: Suppose we are shooting α particles that ٠ have an initial energy of $E_i = 2 \text{ MeV}$ at a target made of an unknown material. The α particles return with final energy $E_f = 1.1$ MeV. What is the weight of the

unknown material?

 $- m(\alpha) = 4$ (2 protons, 2 neutrons)



RBS

Kinematic factor, Mass identification

> Energy loss, Depth scale

Scattering cross sections, Composition, (quantitative)

KINEMATIC FACTOR

E can be derived from the principle of conservation of energy and momentum, and is given by (in laboratory frame of reference):

$$E = \left[\left(\frac{1}{1 + \frac{M}{m}} \right) \times \left(\cos \theta + \sqrt{\left(\frac{M}{m} \right)^2 - \sin^2 \theta} \right) \right]^2 E_o = kE_o$$

The multiplication factor of E_o on the right hand side of the equation is often referred to as the kinematic factor and is denoted by k. For M/m > 1, k is a slow-varying function of θ , having the maximum value of 1 at $\theta = 0$ and the minimum value at $\theta = 180^\circ$. For M/m = 1, the value of k is zero beyond 90°.

$$k = \frac{E_1}{E_0} = \left[\frac{\left(M_2^2 - M_1^2 \sin^2 \theta\right)^{\frac{1}{2}} + M_1 \cos \theta}{M_2 + M_1} \right]^2$$



Kinematic factor (at $\theta=180^\circ)$ as a function of target mass for a number of projectile types.



 RBS example demonstrating mass discrimination. Projectile: 25 MeV ³⁵Cl; target: thin layers of Cu, Y, Ag, Pr, Bi. Isotopes of Cu and Ag are resolved.



Detected energy $E_1 = E_o - \Delta E_{in} - \Delta E_s - \Delta E_{out}$ $\approx kE_o - \underbrace{\left[\left(\frac{dE}{dx}\right)_{in} \cdot k + \left(\frac{dE}{dx}\right)_{out} \cdot \frac{1}{\cos\theta}\right] \cdot x}_{S}$

Stopping power and depth resolution

- -the rate of energy loss dE/dx depends on mass of projectiles, traget, and incident energy
- -for 0.5~2.0 MeV, dE/dx is independent of energy
- -Depth resolution: 30~100 Å



Final Energy of a particle at normal incidence $E_1 = E_0 - \Delta E_{in} - E_s - \Delta E_{out}$

Energy spectrum



Figure 3.10 Schematic backscattering spectra for MeV 'He ions incident on 1000 Å Ni film on Si (top) and after reaction to form Ni_2Si (bottom). Depth scales are indicated below the energy axes.

$$E_1(x) = kE_o - S \cdot x$$







Scattering trajectory. Incidence within a differential ring at a given impact parameter results in a scattering into a differential solid angle

In cylindrical coordinates, the CMS trajectory is described by the distance R and the angle α (see Fig. 1.3). Energy and angular momentum conservation, and integration yield for the asymptotic scattering angle at a given impact parameter p the so-called "classical trajectory integral"

$$\vartheta = \pi - 2p \int_{0}^{R_{\min}^{-2}} \frac{d\left(\frac{1}{R}\right)}{\sqrt{1 - \frac{V(R)}{E_c} - \frac{p^2}{R^2}}}$$
(1.12)

Scattering cross section

2πbdb = s(θ) 2πsinθdθ σ(θ) = b(db/d θ)/sin θ = # of scattered paricles into dΩ/total # of incident particles

Rutherford formula $d\sigma / d\Omega = [Z_1 Z_2 e^2 / 4E_c \sin \theta_c / 2]^2 E_c = [M_2 / (M_1 + M_2)]E_o$



DIFFERENTIAL SCATTERING CROSS SECTION

The theoretical differential cross section for a given scattering angle is given by:

$$\frac{d\sigma}{d\Omega} = \left(\frac{zZe^2}{4E_0}\right)^2 \times \left[\sin^{-4}\frac{\theta}{2} - 2\left(\frac{m}{M}\right)^2 + \dots\right]$$

The higher order terms are usually negligible for M>m and the differential cross section can be expressed in the following form, which has the unit of mb/sr (millibarn per steradian) when MeV is used as the unit for E_o:

$$\frac{d\sigma}{d\Omega} = 1.296 \times \left(\frac{zZ}{E_0}\right)^2 \times \left[\sin^{-4}\frac{\theta}{2} - 2\left(\frac{m}{M}\right)^2\right]$$

Cross section: If the projectile energy is chosen properly (above electronic screening, below Coulombbarrier) the scattering yield follows the well known Rutherford cross-section which is basically inverse proportional to the projectile energy and proportional to the square of the atomic number of the target atom (Fig. 7).



Fig. 7 Comparison of scattering yields as a function of the target atomic number.

 $A = \sigma \Omega Q N t$



Main features of RBS

Elements	Be - U		
Standard Conditions	2 Mev ⁴ He beam		
	Silicon detector		
	10 minutes per sample		
Precision	Stoichiometry: < 1% relative		
	Thickness: < 5%		
Sensitivity	Bulk: % to 10 ⁻⁴ , depending on Z		
	Surface: 1 to 10 ⁻⁴ Monolayers		
Depth Resolution	1 to 10 nm		
Data analysis	e.g. by RUMP software: http://www.genplot.com/		
Remarks	Accessible depth range ~ 1µm		
	No light elements detectable on heavy substrates		





SIMULATED RBS SPECTRUM

Target : Pt-Co (1:1) alloy of thickness 6000A Incident ion: 2 MeV ⁴He+ Scatter angle: 160°



Example : RBS spectrum of hard-disk



Channel

Layer structure:

Protective polymeric material
Co-Pt-Fe alloy $(\sim 200A)$
 $(\sim 200A)$
 $(\sim 10A)$ Cr $(\sim 10A)$
 $(\sim 200A)$ Co-Pt.Fe alloy
Cr $(\sim 200A)$
 $(\sim 10A)$
 $(\sim 100A)$ Ni₃(PO₄)₂ $(\sim 100,000A)$
Al substrate



2000 keV ⁴He, θ = 165° backscattered from Au on Si substrate






1.9 MeV ⁴He, 170° backscattered from ceramic glass

Blok sema jonskog implantera



ukupna duzina oko 7 metara

Skretni magnet VdG



RBS linija



Van de Graaff



Van de Graaff akceleratorska cev



Analizatorski magnet, 500kV implanter



Van de Graaff



Schematic view of the ion beam mixing experiments



RBS spectra (a); Fe and Si concentration profiles (b) of Fe/Si bilayers irradiated with 1x10¹⁵ - 2x10¹⁶ Xe⁺ ions/cm² at 250 keV



Amorphous-iron disilicide: A promising semiconductor



CEMS spectra of ⁵⁷Fe/Si bilayers irradiated to a fluence of $2x10^{16}$ ions/cm² with 250 keV Xe¹⁺ at RT (a) and LN₂ (b) and for 100 keV Ar¹⁺ ions at RT (c) and LN₂ (d)



The sextets are attributed to the ferromagnetic solid solution of Si in the bcc Fe \rightarrow bcc-Fe(Si) phase



Methods

Mössbauer spectrometry



Conversion electrons (CEMS): e-



CEMS spectra of Xe ion beam mixed ⁵⁷Fe/Si bilayers in the smaller velocity range



The doublet represents a mixture of paramagentic phases, mainly to γ-FeSi₂and small farction of ε-FeSi After mixing with Xe ions a fraction of 39% at RT and 47% at LN. For mixing with Ar ions the corresponding fraction resulted as 12% at RT and 10% at LN The quantitative information of the redistribution of the components across the interface Interface variance of the mixed Fe/Si bilayer $\Delta\sigma^2$, after RT irradiation, as a function of ion fluence Φ (a) Ar and (b) Xe



RBS spectra taken from Fe/Si bilayers irradiated with 400 keV Au⁺ ions



Interface broadening variance $\Delta\sigma^2$ versus ion fluence Φ for Fe/Si bilayers irradiated with a 100 keV Ar⁺ ions, 250 keV Xe⁺ and 700 keV Xe²⁺, and 400 keV Au⁺



The deduced mixing rates are inserted

BALLISTIC MODEL

Under ballistic model mixing rate k_{ball} is given as:

$$k_{\text{ball}} = \frac{1}{3}\Gamma_0 \xi \frac{R_d^2}{NE_d} F_D$$
 (1)

 Γ_0 = 0.608, N = average atomic density ξ = kinematic factor involving the masses of the colliding atoms F_D= deposited energy density, E_d = displacement energy R_d~ 1 nm, the minimum separation distance for the production of a stable Frankel pair

THERMAL SPIKE MODEL

If the mixed region consists of both solid solution and compounds, mixing rate is given as:

 $k = k_{spike} [p_{comp} . f_{comp} + (1 - p_{comp}) f_{sol}] \dots (2)$

where p_{comp} is the fraction of the compound phase in the mixed region. By considering A_aB_b compound via chemical reaction A+B → A_aB_b, the compound enhancement factor f_{comp} can be expressed as:

$$f_{comp} = 2[\frac{C_A}{aC/(a+b)} + \frac{C_B}{bC/(a+b)}]$$
(3)

 C_A and C_B are the atomic densities of the A and B layers, C is the atomic density of the compound. Under solution approximation, the factor f_{sol} due to

formation of A-B solution is given by:

$$f_{sol} = 1 + K_2 \frac{\Delta H_{mix}}{\Delta H_{coh}} \qquad (4)$$

Factor for k_{spike}, the expression derived for either local spikes

or global spike

 $K_{s} = 0.9 \times 10^{-6} \text{ keV-nm}^{3}$, $K_{1} = 0.0035 \text{ nm}$, $K_{2} = 50$ are constants

 ΔH_{mix} and ΔH_{coh} are the average heat of mixing and cohesive energy of the bilayer

elements, respectively.

 $Z_{ave} = 20$, $C_A =$ the atomic density of Fe = 85 atoms/nm³, $C_B = 50$ atoms/nm³(Si),

C = 61.7 atoms/nm (FeSi₂). Δ H_{mix} = 0.14 eV/atom and Δ H_{coh} = 4.45 eV/atom

Si is the dominant mobile species under the present irradiation conditions

The second term within the bracket in Eq. 3 should be considered in the calculation.

Comparison of experimental and calculated mixing rates in Fe/Si bilayers

Ion	Sample Temp.	Phase	F _D (keV/	Exper. mixing	Ion-beam mixing model (nm ⁴)		
			nm)	rate (nm ⁴)	Ballistic ^b	Local spike ^c	Global spike ^d
Au	RT, LN	FeSi2 ^a compound & Fe-Si solid solution	5.1	8.5 ± 1.5	0.9	7.4	10
V			3.3	6.7 ± 1	0.6	4.8	4.1
Xe			2.8	4.8 ± 0.5	0.5	4	3.5
Ar			0.9	1.3 ± 0.2	0.16	1.3	0.4

^a FeSi₂ phase assumed for Au irradiation ^b Ballistic model

^c Local spike model

^d Global spike model

Ion-beam mixing in Fe/Si bilayers

Summary

•The growth variance $\Delta\sigma^2$ in the mixed Fe/Si layer depends linerly on the fluence Φ

•The mixing rates are very well reproduced by the local thermal spike model

•The enhancement factor is due to a Darken-type term for the presence of a solid solution and/or the fact that the a compound phase is formed

Formation of nitrides by irradiation of ⁵⁷Fe/Si bilayers

The synthesis of specific iron nitride phases during irradiation of ⁵⁷Fe/Si bilayer structures with 22 keV N ²⁺ ions



•excellent magnetic properties $\rightarrow (\alpha^{"}-Fe_{16}N_{2})$

significantly improved corrosion and
wear resistance, high hardness → (ε-nitride)

 various experimental approaches to the synthesis of iron nitrides



(i)evaporation in nitrogen atmosphere

(ii)reactive sputtering

(iii)laser nitriding

(iv)molecular beam epitaxy

(v)ion beam assited deposition

(iv)ion implantation





Experimental details



Depth profiles: WiNDF and RUMP codes

RBS spectra (a); Fe and Si concentration profiles (b) of ⁵⁷Fe/Si bilayers irradiated with 0.6x10¹⁷ - 2x10¹⁷ N²⁺ ions/cm² at 22 keV







Nitrogen depth profiles obtained by ERDA



XRD analysis of the ⁵⁷Fe/Si samples before and after irradiation



CEMS measurements of ⁵⁷Fe/Si bilayers





Fraction of the nitride phase as observed by CEMS

Phase fractions of various iron nitrides

	Fraction of the nitride phase							
lon fluence ions/cm ²	α - Fe	α′ - (FeN)	ε-Fe _{2+x} N	γ΄-Fe ₄ N	ε -Fe ₂ N	Oxide/ unresolved		
0.6x10 ¹⁷	32.6%		51%			15.5%		
1.0x10 ¹⁷	26.5%	10%	49%	?		14%		
2.0x10 ¹⁷	7.6%	7.9%	10.7%		61%	12.7%		